

ECB-PUBLIC

RECORD OF PROCESSING ACTIVITY

NAME OF PROCESSING ACTIVITY

1. Controller(s) of data processing activities

Controller: European Central Bank (ECB)

Organisational unit responsible for the processing activity:

DG-S/SUP/SDS (SSM-wide data collection database)

DG-S/DO (Data Inventory)

Data Protection Officer (DPO): DPO@ecb.europa.eu

2. Who is actually conducting the processing activity?

☒ The data is processed by the ECB itself

The organisational unit conducting the processing activity is: DG-S/SUP/SDS

☒ The data is processed by a third party (contractor) or the processing operation is conducted together with an external third party [mention third party]

The SSM-wide data collection database is stored in the ECB Data Inventory application. For this application, the data is processed by Amazon Web Services EMEA SARL (Germany), as sub processor for the ECB.

3. Purpose of the processing

At its meeting on 14-15 December 2017 the Supervisory Board (SB) approved the creation of a database for bank-specific recurring data requests by the ECB Banking Supervision, the NCAs and external bodies, in an attempt to render requests relating to micro-prudential data more transparent and consistent and avoid redundancies. The database is developed and maintained by the ECB's Banking Supervision Data Division (DG-S/SUP) in the Directorate General Statistics. The database is hosted on the ECB Data Inventory platform. Personal data (names and work email addresses) are collected to be able to contact data collection owners when needed, in particular during the regular updates to the database.

4. Description of the categories of data subjects

Whose personal data are being processed?

- ☒ ECB staff
- ☐ Externals (agency staff, consultants, trainees or secondees)
- ☒ NCB or NCA counterparts (in the ESCB or SSM context)
- ☐ Visitors to the ECB, including conference participants and speakers
- ☐ Contractors providing goods or services
- ☐ Complainants, correspondents and enquirers
- ☐ Relatives of the data subject
- ☐ Other (please specify):

5. Description of the categories of personal data processed

(a) General personal data:

The personal data contains:

- ☒ Personal details (name, address etc)
- ☐ Education & Training details
- ☒ Employment details
- ☐ Financial details
- ☐ Family, lifestyle and social circumstances
- ☐ Goods or services provided
- ☐ Other (please give details):

(b) Special categories of personal data

The personal data reveals:

- ☐ Racial or ethnic origin
- ☐ Political opinions
- ☐ Religious or philosophical beliefs
- ☐ Trade union membership
- ☐ Genetic data, biometric data for the purpose of uniquely identifying a natural person or data concerning health
- ☐ Data regarding a natural person's sex life or sexual orientation

6. The categories of recipients to whom the personal data have been or will be disclosed, including the recipients of the data in Member

States, third countries or international organisations

- ☒ Data subjects themselves
- ☒ Managers of data subjects
- ☒ Designated ECB staff members
- ☒ Designated NCB or NCA staff members in the ESCB or SSM context
- ☒ Other (please specify): System administrators have access to this data

7. Transfers to/Access from third countries or an international organisation

Data are processed by third country entities:

- ☐ Yes

Specify to which countries:

Specify under which safeguards:

- ☐ Adequacy Decision of the European Commission
- ☐ Standard Contractual Clauses
- ☐ Binding Corporate Rules
- ☐ Administrative arrangement containing enforceable and effective data subject rights

If the third country's legislation and/or practices impinge on the effectiveness of appropriate safeguards, the personal data can only be transferred to, accessed from or processed in such third country when sufficient 'supplementary measures' are taken to ensure an essentially equivalent level of protection to

that guaranteed within the EEA. These supplementary measures are implemented on a case-by case basis and may be technical (such as encryption), organisational and/or contractual.

☒ No

8. Retention time

Records will be destroyed after 15 years accordingly to the [ECB's Filing and Retention Plan](#)